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1 **Title:** The guinea pig as an animal model for studying perinatal changes in microvascular  
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3

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5

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1 **ABSTRACT**

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3 Microvascular dysfunction, characterised by inappropriate vasodilatation and high blood flow  
4 in the peripheral microcirculation, is linked to physiological instability and poor outcome in  
5 newborn neonates. Specifically, preterm neonates have significantly higher levels of baseline  
6 microvascular flow than term neonates at 24 hours postnatal age. Due to similarities between  
7 human and guinea pig endocrine profiles and maturity at birth, we hypothesised that the  
8 preterm guinea pig neonate would also demonstrate high microvascular flow compared to  
9 term controls, providing a suitable model for studying the mechanisms underlying transitional  
10 microvascular function. Guinea pigs that were delivered preterm show immaturity and had  
11 markedly reduced viability. Laser Doppler flowmetry was used to study microvascular blood  
12 flow at 23 hours postnatal age in surviving preterm and term animals. Baseline microvascular  
13 flow was significantly higher in preterm than term animals. No effect of intrauterine growth  
14 restriction or birth weight on baseline flow was observed in either preterm or term animals.  
15 These results are consistent with recent clinical findings and support the use of the guinea pig  
16 as a suitable model for future studies of the mechanisms underlying perinatal microvascular  
17 behaviour.

18

19 **Key Words:** neonate; microvascular

20

- 1 **ABBREVIATIONS**
- 2 **LDF**, laser Doppler flowmetry
- 3 **PU**, perfusion units
- 4

## 1 INTRODUCTION

2 In term infants the circulation undergoes rapid and extensive changes in the initial  
3 hours of extrauterine life to allow the infant to effectively deal with extrauterine systemic  
4 vascular resistance and hence provide normal and adequate perfusion of tissues (1-3). The  
5 transitional circulation of preterm neonates (especially those born at 30 weeks gestation or  
6 less) differs significantly from infants born at full term. In the preterm infant, a number of  
7 crucial physiological responses to extrauterine life are commonly delayed, allowing for  
8 persistence of atrial and ductal shunting and inappropriate blood flow throughout the  
9 periphery during the perinatal period (4-8).

10 Previous studies in preterm infants suggest that abnormal microvascular tone,  
11 characterised by inappropriate vasodilatation of the peripheral microvasculature may  
12 contribute to the development of circulatory compromise in preterm neonates (4, 8). These  
13 studies found that the functional integrity of the microvasculature (including appropriate  
14 control of vasodilatation) in preterm neonates is significantly altered compared to neonates  
15 born at later gestational ages (GA). Very preterm neonates (24-28 weeks GA) are known to  
16 have significantly higher microvascular blood flow at 24 hours postnatal age than preterm  
17 infants born at 29-34 weeks GA and neonates born at term. High baseline microvascular  
18 blood flow in premature infants is significantly correlated with clinical illness severity and poor  
19 outcome in the immediate postnatal period (4, 9). Such dysfunction in the microvasculature is  
20 also a well established observation associated with the onset of other causes of multisystem  
21 organ failure in neonates (10).

22 In addition to disorders relating to short gestation, intrauterine growth restriction  
23 (IUGR) and low birth weight are also associated with increased neonatal morbidity and  
24 mortality, particularly compromise associated with cardiovascular maladaptation (11, 12).  
25 Previous studies have demonstrated significant changes in peripheral microcirculation during  
26 the transitional period, however no effect of low birth weight or IUGR on baseline  
27 microvascular flow has been shown (13, 14).

28 Differences in microvascular blood flow dependant upon gestational age, postnatal  
29 age and sex have been observed in both physiological and biochemical studies. Physiological

1 studies have included the assessment of peripheral microcirculation using laser Doppler  
2 flowmetry (LDF). Recent studies have shown that such evaluation of the peripheral  
3 microcirculation is useful for the study of cardiovascular changes within the initial extrauterine  
4 period, allowing for changes in the microvascular bed of the infant to be assessed (15, 16).  
5 These studies also found that measurement of microvascular behaviour is useful for the  
6 detection of cardiovascular compromise as the regulatory mechanisms of the peripheral  
7 microcirculation both contribute to, and are involved in, the neuroendocrine response to  
8 cardiovascular compromise (12). Despite a number of such physiological studies, the  
9 mechanisms underlying these differences are poorly understood. In order to better  
10 understand these mechanisms an animal model is required to allow for investigation of the  
11 systems controlling microvascular blood flow, studies which are not possible in the human  
12 neonate. Very little evidence of the mechanisms controlling microvascular maturation exists.  
13 The normal ontogeny is not well described and the development of a suitable animal model  
14 for necessary invasive mechanistic investigations is essential to complement ongoing clinical  
15 assessments.

16         The guinea pig has previously been used as an animal model for studying IUGR and  
17 lung injury associated with prematurity (17-20). Unlike other laboratory rodents, the guinea pig  
18 has a long gestational period. It has placental endocrine control, and fetal and neonatal  
19 endocrine profiles that are comparable to that of the human, making it a particularly suitable  
20 model for studying human endocrine function and behaviour during pregnancy and early  
21 extrauterine life (21, 22). The guinea pig is also more precocious than other species of small  
22 laboratory animal, with the young born with a highly developed central nervous system, and is  
23 thus again more comparable to the human neonate than rats or mice in terms of perinatal  
24 adaptations, as the majority of brain development takes place in utero.

25         The aim of the present study was to establish an animal model of prematurity suitable  
26 for studying the mechanisms underlying control and dysregulation of microvascular function in  
27 the initial extrauterine period in preterm neonates. It was hypothesised that microvascular  
28 blood flow, as measured by LDF, would be dependent upon gestational age and sex, as seen  
29 in previous human clinical studies (4, 8).

## 1 **METHODS**

2 *Animals.* The Research Support Unit of the University of Newcastle supplied time-  
3 mated, pregnant outbred, tricolour guinea pigs. All procedures were approved by the  
4 University of Newcastle Animal Care and Ethics Committee and carried out in accordance  
5 with the Australian Code of Practice for the Care and Use of Animals for Scientific Purposes.  
6 Male and female offspring were used for this study, with no dam represented by more than 2  
7 pups of each sex in any group.

8 *Spontaneous Term Delivery.* In order for spontaneous vaginal delivery to occur, the  
9 pubic symphysis of the pregnant dam must relax and open, allowing for passage of the fetus.  
10 Detectable separation of this joint for two consecutive days indicates imminent onset of labour.  
11 Dams were monitored for delivery daily throughout late gestation, and then hourly via infrared  
12 camera following pubic symphysis separation. Pups were removed from the dam immediately  
13 following delivery.

14 *Caesarean Section Delivery.* Pregnant dams were allocated to either preterm or term  
15 delivery. For preterm delivery, caesarean section delivery was performed between 62 and 64  
16 days GA (full term approximately 71 days (22)). As a percentage of completed gestation  
17 (87%), this is the equivalent of a human infant born in the late preterm period (approximately  
18 34.5 weeks gestational age). This time point was chosen as it is the earliest gestation that  
19 guinea pig pups can be kept alive with non-invasive support (23). Guinea pigs have been  
20 shown to be markedly resistant to glucocorticoids such as betamethasone, with significantly  
21 decreased glucocorticoid receptor binding affinity (24). For this reason, 1mg/kg  
22 betamethasone (compared to an average dose of 0.2mg/kg used clinically in human  
23 pregnancies) was administered to pregnant dams via subcutaneous injection at 48 and 24  
24 hours prior to delivery to stimulate fetal lung maturation. This dosage has been shown to exert  
25 effects in the guinea pig similar to those seen clinically in human pregnancies (25, 26). Term  
26 caesarean sections were performed at 69 days gestation, or earlier if the pubic symphysis  
27 had been open for 2 consecutive days. The dam was anaesthetised for surgical delivery by  
28 administration of 4% isoflurane in medical grade oxygen via chamber and mask inhalation for  
29 15 minutes prior to delivery. At delivery, the uterus was exposed via an incision made down

1 the midline of the ventral abdomen and removed from the abdominal cavity maintaining blood  
2 supply. Pups were rapidly removed from the uterus to a warm bed for immediate resuscitation.

3 *Neonatal Respiratory Support.* Fluid was cleared by suction from the airways of pups.  
4 Preterm pups were then placed in a supine position and administered 50 $\mu$ l surfactant  
5 (80mg/ml Poractant Alfa, Douglas Pharmaceuticals, Australia) into the oropharynx with a  
6 gasp induced by nasal occlusion. Pups then received continuous positive air pressure with  
7 positive pressure ventilation as required, administered in a 1:1 ratio of oxygen and medical air  
8 at a rate of 8l/min. A Neopuff Infant T-Piece Resuscitator (Fisher & Paykel Healthcare, New  
9 Zealand) with positive end-expiratory pressures of 7cmH<sub>2</sub>O was used to establish and  
10 maintain functional residual capacity, and, when required, mechanical ventilation with peak  
11 inflation pressures (PIP) of 20cmH<sub>2</sub>O was administered. In pups with no spontaneous  
12 breathing present following birth, ventilation commenced with an initial sustained inflation with  
13 a PIP of 20cmH<sub>2</sub>O for 20 seconds (27).

14 *Neonatal Monitoring.* Once stable, birth weight was recorded and pups were  
15 maintained in a humidified incubator at temperatures of 34-38°C as required for maintenance  
16 of neonatal body temperature for the duration of the study period. Rectal temperature was  
17 measured two-hourly. As pups were delivered by non-recovery surgery of the pregnant dams,  
18 frequent feeding and monitoring of the neonates was required. Pups were monitored every  
19 two hours and clinical states recorded using a novel neonatal monitoring score based on  
20 three criteria – respiration, posture, and alertness/movement. A score out of 4 was assigned  
21 for each criterion, thus a maximum of 12. Scores of 3 and below were categorised as very  
22 poor, 4 to 6 poor, 7 to 9 good, and 10 to 12 very good. Preterm pups were also fed two-hourly  
23 with commercial guinea pig milk formula via a single lumen polyvinylchloride orogastric tube.  
24 For term pups, the milk formula was titrated intraorally.

25 *Laser Doppler Flowmetry.* Laser Doppler readings were conducted at 23 hours  
26 postnatal age, no less than 30 minutes after the last feed of pups. The PeriFlux System 5001  
27 (Perimed AB, Jarfalla, Sweden) with a Small Straight Probe (Probe 407) and miniature probe  
28 holder (PH07-4) attached was used. The probe was applied gently to the rear of the pad of  
29 the hind foot to establish baseline microvascular flow. A baseline microvascular flow was

1 considered established when backscatter was stable and heart rate and rhythmical flow could  
2 be visualised within the PeriSoft (PSW2.0, Perimed) display for at least 30 seconds. The  
3 microvasculature was then subjected to a one-minute period of occlusion (achieved by  
4 applying pressure to occlude blood flow). Biological baseline (reported value) was determined  
5 by subtracting minimum value during occlusion (“biozero”) from mean value for baseline  
6 reading. Microvascular blood flow data was recorded and analysed using custom PeriSoft  
7 software and is expressed in arbitrary perfusion units (PU) (28). Only recording sequences  
8 free from movement artefacts were analysed. All laser Doppler studies were performed by a  
9 single investigator (RD).

10 *Post-Mortem Tissue Collection.* Body, brain and liver weights were collected post-  
11 mortem at 24 hours postnatal age. IUGR was defined by brain-to-liver weight ratio >0.9. This  
12 has previously been defined as a marker of asymmetric growth restriction in the guinea pig  
13 (18). In order to compare with human data where liver weights are not known and small for  
14 gestational age infants with head sparing are diagnosed as IUGR via head circumference to  
15 body weight, brain-to-body weight ratio was also calculated for neonates.

16 *Statistics.* Prism 4 for MacOSX (GraphPad Software Inc., La Jolla, CA) was used for  
17 statistical analyses and generation of graphs. Unless otherwise stated, data for physical  
18 characteristics is presented as median (interquartile range) and analysed via Two-Way  
19 ANOVA. Incidence and mortality data were analysed using Fisher’s Exact Test. LDF data  
20 were not normally distributed and were therefore log-transformed for further analysis (logPU).  
21 Differences between groups for microvascular blood flow logged data are presented as  
22 mean±SEM and were analysed by unpaired *t* test or Two-Way ANOVA as indicated.  
23 Significance level was set at  $p < 0.05$  for all data examined.

## 1 RESULTS

2           *Physical Characteristics.* Spontaneous vaginal delivery (n=3) occurred at 69 (67-70)  
3 days gestation (term caesarean section 69 (68-69) days gestation; n=5). No differences in  
4 physical characteristics or blood flow analysis were found between term pups delivered by  
5 caesarean section or spontaneous vaginal delivery and therefore term pups have been  
6 grouped (data not shown). Preterm delivery at 62 (62-63) days gestation (n=19) resulted in  
7 considerable immaturity and decreased viability. Preterm pups had significantly higher  
8 mortality rates than pups delivered at term,  $p < 0.001$  (Figure 1A). For preterm animals, males  
9 were found to have higher mortality rates than females (Figure 1B) but this did not reach  
10 statistical significance,  $p > 0.05$ . Henceforth, data presented is for pups surviving to 24hours  
11 postnatal age only, with each dam represented by no more than two male and two female  
12 pups (median pups per litter = 2 (range 1-4)). Therefore, each group is represented by a  
13 minimum of 6 litters. Physical characteristics for surviving pups are presented in Table 1.  
14 Surviving preterm pups required respiratory support including surfactant administration and  
15 continuous positive air pressure with positive pressure ventilation, incubation and tube feeding.  
16 As a reflection of this, preterm pups returned significantly lower neonatal monitoring scores  
17 over the 24-hour study period (9.2 (8.0-9.9)) than term animals (12 (11.9-12)),  $p < 0.001$ . Birth  
18 weight was significantly reduced in preterm pups (66.4g (53.9-70.4)) compared to term  
19 animals (76.3g (68.0-92.1)),  $p < 0.001$ . There was no significant sex difference in body weight  
20 between males and females in either preterm or term animals.

21           *Intrauterine Growth Restriction.* Pups were classified as growth-restricted based upon  
22 calculation of brain-to-liver weight ratio. IUGR resulted in significantly reduced birth weights of  
23 both sexes of neonates in both term ( $p = 0.001$ ) and preterm ( $p < 0.001$ ) groups and  
24 asymmetrical growth restriction was also evidenced by significantly increased brain weight to  
25 body weight ratios in IUGR compared to non-IUGR pups in both term ( $p < 0.001$ ) and preterm  
26 ( $p < 0.001$ ) groups (data not presented). Incidence of IUGR was not different between term and  
27 preterm groups (32.3% and 37.5% respectively,  $p = 0.78$ ) and rates did not differ between  
28 sexes in either gestational age group (Table 1).

1            *Laser Doppler Flowmetry.* Baseline microvascular flow was significantly higher in  
2 preterm ( $1.22\pm 0.04$  logPU) than term ( $0.99\pm 0.05$  logPU) animals ( $p<0.001$ ) at 23 hours  
3 postnatal age (Figure 2). Baseline flow did not differ significantly between sexes in either  
4 gestational group (data not shown). Baseline microvascular blood flow was not different  
5 between term IUGR ( $1.001\pm 0.08$  logPU) and non-IUGR ( $1.005\pm 0.07$  logPU) ( $p=0.97$ ) or  
6 preterm IUGR ( $1.223\pm 0.07$  logPU) and non-IUGR ( $1.218\pm 0.05$  logPU) neonates ( $p=0.95$ ). No  
7 significant relationship between birth weight and baseline microvascular flow was found to  
8 exist for either term ( $r=0.165$ ) or preterm ( $r=0.148$ ) animals (Figure 3).  
9  
10

1 **DISCUSSION**

2           The key finding of this study was that preterm guinea pig neonates have significantly  
3 higher levels of baseline microvascular flow than those delivered at term, however IUGR  
4 status and birth weight show no relationship with microvascular blood flow at 23 hours  
5 postnatal age. This finding is consistent with observations made in human neonates born at  
6 earlier gestational ages (24-28 weeks gestation) compared to neonates born at later  
7 gestational ages (4, 9).

8           One of the major difficulties with establishing an animal model to represent the  
9 preterm human is determining developmental age equivalency of the species and humans.  
10 Based on physical characteristics and mortality in our preterm animals, we suggest that the  
11 neonatal guinea pig delivered at 62 days gestational age is an appropriate model for studying  
12 microvascular adaptations in preterm humans during the immediate postnatal period. Normal  
13 gestation in our guinea pig population is approximately 71 days (22). Preterm neonates were  
14 delivered at 62 days gestational age, the approximate equivalent of a human infant born at  
15 34.5 weeks completed gestation. However, the demonstrated decreased viability and  
16 significant physiological immaturity of these preterm neonates suggests an earlier age  
17 equivalency. This is supported by previous studies in the guinea pig that have assessed lung  
18 development in the fetal guinea pig and suggest that pups born prior to 66 days show  
19 considerable lack of development, demonstrated by lung growth and development, and that  
20 guinea pig neonates born at <88% completed gestation cannot survive with non-invasive  
21 interventions (23). These findings are consistent with the significant mortality of preterm  
22 guinea pig neonates observed in the present study. Previous studies have concluded that  
23 lung growth and development parallels the overall development of a species at birth (29). In a  
24 previous study of lung development, fetal guinea pigs at 61 days gestation had lung  
25 morphology indicative of the saccular stage of lung development, seen in the human neonate  
26 from approximately 24 weeks of age through to term (23). Viability and support levels in our  
27 neonatal guinea pigs suggest they are more physiologically equivalent to a human infant born  
28 at approximately 29 weeks completed gestation; as approximately half of the infants born at  
29 this gestation could be expected to survive with only our limited interventions.

1           It has long been established that male infants born prematurely are at an increased  
2 risk of poor outcome compared to females of the same gestational age (8, 30-32). This  
3 increased death rate appears to be associated with cardiorespiratory compromise (33). The  
4 mechanisms underlying this increased risk are not yet understood, although sex differences in  
5 physiological responses to the fetal-to-neonatal transition are well described (34-37). Our  
6 mortality rates for males and females in the preterm cohort are in line with such clinical  
7 observations. Although the difference in mortality between male and female neonates did not  
8 reach statistical significance (Figure 1B), it suggests that the preterm guinea pig delivered at  
9 this gestational age may be comparable to the human preterm neonate in terms of sexual  
10 dimorphisms seen in viability at earlier gestational ages. Significant loss of viability in male  
11 compared to female preterm neonates has been reported in large cohort human studies (38)  
12 and power analyses of our data suggests that a much larger number of litters (n=100) would  
13 have to be included for this result to reach significance at the same effect size as in the  
14 human population in our animal cohort. This is neither practical nor ethical for animal studies  
15 of this nature.

16           In humans, preterm male infants have been shown to have significantly higher  
17 baseline levels of microvascular flow than females. This sexual dimorphism is evident at 24  
18 hours of postnatal age but no longer observed when the infant reaches 72 hours postnatal  
19 age (8). While we did not observe a significant sex difference or effect of IUGR in baseline  
20 microvascular blood flow, we propose that this may be a survival effect or a postnatal age  
21 effect. Further studies will need to investigate these factors in order to determine the  
22 usefulness of this model for studying sexual dimorphism in the control of extrauterine  
23 microvascular blood flow. Such studies will need to investigate microvascular function at  
24 earlier time points to assess if neonates with higher microvascular flow, which is known to  
25 correlate with adverse outcome are not represented by the group of neonates surviving to 24  
26 hours. Earlier time points also need to be considered as the transition from fetal-to-neonatal  
27 circulatory systems is a rapid and extensive process, and it may be that 24 hours postnatal  
28 age in the neonatal guinea pig is outside the window of adaptation when maximal

1 dysregulation resulting in circulatory compromise and adverse outcome could be expected to  
2 occur.

3           Our results are consistent with previous clinical studies, which show that preterm birth,  
4 but not IUGR or low birth weight, is associated with high baseline microvascular blood flow at  
5 24 hours postnatal age. Further studies at earlier postnatal ages have great potential to  
6 further our understanding of the relationship between dysregulation of the peripheral  
7 microvasculature and illness severity or mortality. Taken together, results from the current  
8 study support the preterm guinea pig as an animal model for studying perinatal microvascular  
9 changes in the initial extrauterine period. This will allow mechanistic studies in order to better  
10 understand the control of microvascular flow in the preterm neonate during this period of  
11 circulatory compromise.

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1 **FIGURE LEGENDS**

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3 **Figure 1.** Mortality rates at 24 hours postnatal age in term and preterm animals. A) Compared  
4 to animals delivered at full term, preterm delivery resulted in significantly decreased viability  
5 despite ongoing respiratory, thermal and nutritional support. \* denotes significant difference  
6  $p < 0.001$ . B) Mortality was greater in preterm males than females. Although not statistically  
7 significant, the increased male mortality is comparable to that seen in large cohorts of human  
8 preterm neonates. Data is expressed as % mortality  $\pm$  95% confidence intervals.

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10 **Figure 2.** Baseline microvascular blood flow in guinea pig neonates. Baseline microvascular  
11 blood flow, expressed as log of arbitrary perfusion units (logPU), was measured via laser  
12 Doppler flowmetry in term (●, n=26) and preterm (○, n=31) male and female animals at  
13 23hours postnatal age. Baseline microvascular blood flow was significantly higher in preterm  
14 animals than term controls. Data is expressed as mean $\pm$ SEM. \* denotes significant  
15 difference  $p < 0.001$ .

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